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FORM PTO-1082

Case Docket No. 51270-245618

Date: October 4, 1999

Express Mail Label No. EL331914823US

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

10/04/99

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): **Katsuichi Osakabe**

For: **RECORDABLE OPTICAL DISK AND OPTICAL DISK RECORDING DEVICE**

10/04/99  
09/412736  
JC998 U.S. PTO

Enclosed are:

- ☒ 4 Sheet(s) of drawings ( ☒ informal)
- ☒ An assignment of the invention to Yamaha Corporation
- ☐ An associate power of attorney.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
- ☒ Declaration and Power of Attorney.
- ☐ Certified copy of Patent Application No. 296230 filed October 5, 1998 from which priority is claimed under 35 USC §119. ☒ will follow.
- ☐ IDS enclosed. ☐ with references.
- ☐ Preliminary Amendment

CALCULATION OF FEES						
ITEM		NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	17 - 20* =	0	X \$9 or X \$18	\$0	
B	INDEPENDENT CLAIMS FEE**	7 - 3* =	4	X \$39 or X 78	\$312	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)					\$312
D	MULTIPLE-DEPENDENT CLAIMS FEE				SMALL ENTITY FEE = \$130 LARGE ENTITY FEE = \$260	\$0
E	BASIC FEE*				SMALL ENTITY FEE = \$380 LARGE ENTITY FEE = \$760	\$760
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$1,072
F	ASSIGNMENT RECORDING FEE					\$ 40
	**LIST INDEPENDENT CLAIMS					

Please charge my Deposit Account No. 16-1805 the amount of \$0

**A copy of this letter is enclosed.**

☒ A check in the amount of \$1,072

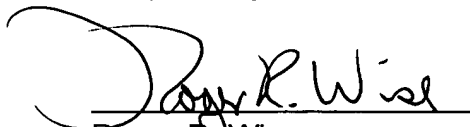
to cover the filing fee is enclosed.

☒ A check in the amount of \$40

to cover Assignment Recordation fee is enclosed.

- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-1805.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 16-1805.
- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

  
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Roger R. Wise  
Reg. No. 31,204

Date: October 4, 1999

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